Topics in Graph Theory – 2

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For interval graphs, we obtained a perfect colouring using a very special type of ordering, with the property that all uncoloured neighbours form a clique. This idea carries over to other classes of graphs.

For a graph G = (V, E), a perfect elimination ordering of G is an ordering  $v_1, v_2, \ldots, v_n$  so that, for each vertex  $v_i, N(v_i) \cap \{v_1, \ldots, v_{i-1}\}$  is a clique.

**Theorem 1.** If a graph G has a perfect elimination ordering then G is perfect.

Proof. If G = (V, E) has a perfect elimination ordering,  $v_1, v_2, \ldots, v_n$ , then this ordering has the property that, for each vertex  $v_i, N(v_i) \cap \{v_1, \ldots, v_{i-1}\}$ has size at most  $\omega(G) - 1$ . Thus, the greedy colouring uses at  $\omega(G)$  colours, and  $\chi(G) = \omega(G)$ . To complete the proof, note that if G has a perfect elimination ordering, then so does each induced subgraph of G.

A subgraph of a graph G = (V, E) is a graph  $H = (V_H, E_H)$  so that  $V_H \subseteq V_G$ and  $E_H \subseteq E_G$ . A subgraph H is an *induced* subgraph if every edge in  $E_G$  with endpoints in  $V_H$  is included in  $E_H$ . A subgraph H is a spanning subgraph if  $V_H = V_G$ . An *induced cycle* is an induced subgraph which is a cycle. This means that the cycle has no *chords*, i.e. no other edges than the cycle edges connecting the vertices of the cycle. A graph G = (V, E) is *chordal* if it has no *induced* cycles of size larger than 3.

By definition, interval graphs are perfect, but the converse is not true.

**Theorem 2.** A graph G is chordal if and only if it has a perfect elimination ordering.

Before we give the proof of this theorem we need a few lemmas.

**Theorem 3.** Every connected graph contains at least two vertices which are not cut vertices.

These vertices can be found by taking a maximal path in a graph, and taking its endpoints.

**Lemma 4.** Every graph chordal graph G has a vertex such that its neighbourhood is a clique.

*Proof.* Assume without loss of generality that G is connected. (If not, take a connected component). Let v be a vertex which is not a cut vertex. We will argue that the neighbours of v form a clique. Assume the contrary. This means that there exist two neighbours u, w of v which are not adjacent. Since v is not a cut vertex, G - v is connected, so there exists a path from u to w in G - v. Let P be a shortest such path. Then by extending P with v we find an induced cycle of size larger than 3. Thus, G is not chordal.

Proof of Theorem 2. Assume first that G is chordal. Then so is each induced subgraph of G. By the lemma, G contains a vertex  $v_n$  whose neighbourhood is a clique. Put this vertex last in the ordering. Then find a vertex  $v_{n-1}$  in  $G - v_n$  whose neighbourhood in  $G - v_n$  is a clique. Continuing this process, this results in a perfect elimination ordering  $v_1, \ldots, v_{n-1}, v_n$ .

Next, assume G is not chordal. Then G must have an induced cycle C of size k > 3. Now consider any ordering of the vertices of G. Let v be the vertex of C that comes last in the ordering. This means that the two neighbours u and w on C of v come before v in the ordering. However, since C is induced, u and w are not connected. Thus the ordering is not a perfect elimination ordering.

We can extend the idea of a perfect elimination ordering to obtain bounds on the ration  $\chi/\omega$ . Suppose a graph G = (V, E) has an ordering  $v_1, v_2, \ldots, v_n$ so that, for each vertex  $v_i$ ,  $N(v_i) \cap \{v_1, \ldots, v_{i-1}\}$  can be partitioned into at most k cliques. Then v has at most  $k\omega - 1$ ) neighbours in  $N(v_i) \cap \{v_1, \ldots, v_{i-1}\}$ , and thus the greedy colouring using this ordering will use at most  $k\omega$  colours. This implies that  $\chi(G) \leq k\omega(G)$ .

A graph G = (V, E) is a geometric graph if the vertices can be embedded in <sup>2</sup> so that two vertices are adjacent if and only if they have distance at most t from each other, where t is a given threshold value. Geometric graphs are also called *unit disk graphs*.

## **Theorem 5.** If G is a geometric graph, then $\chi(G) \leq 3\omega(G)$ .

*Proof.* Note first that all neighbours of a vertex of G lie inside a circle with radius t. Order all vertices from left to right, i.e. according to increasing x-coordinate. (If two vertices have the same x-coordinate, then give preference to the smallest y coordinate.) Then for each vertex v with coordinates  $(x_v, y_v)$ , the vertices coming before v in the ordering lie in the half circle with radius t around v, which lies to the left of the vertical line through  $(x_v, y_v)$ .

This half-circle can be particle into three equal regions, each of which has diameter t. Thus all vertices in one of these regions form a clique. Thus the neighbours of v that come before it in the ordering can be particle into 3 cliques.

A graph G is k-critical if each proper induced subgraph H of G (i.e. every induced subgraph except G itself) has  $\chi(H) < \chi(G)$ . Every k-chromatic graphs has a k-critical subgraph. In every k-chromatic graph, every vertex has degree at least k - 1.

## **Theorem 6.** In a k-critical graph $(k \ge 2)$ , no vertex cut is a clique.

Proof. Suppose, by contradiction, that G is k-critical, has a vertex cut C that is a clique. Let  $H_1, \ldots, H_\ell$  be the components of G - C, and, for each i, let  $G_i$  be the graph formed from  $H_i$  by adding C and all edges between vertices in C and  $H_i$ . Each  $G_i$  is a proper subgraph, and can therefore be coloured with k - 1 colours. In such a colouring, each vertex in C must receive a different colour. Wlog, we can adjust the colourings of the  $G_i$  so that the colour of each vertex in C is the same in the colouring of each  $G_i$ . But since the  $G_i$  only overlap in C, this leads to a colouring of G.

A corollary of this theorem is that no k-critical graph can have a cut vertex.

The concept of critical graphs is used in the proof of Brook's theorem. First, note that the only 1-critical graph is  $K_1$ , and the only 2-critical graph is  $K_2$ . The only 3-critical graphs are odd cycles (problem set 3).

**Theorem 7** (Brook's theorem). If G is a connected, simple graph which is neither an odd cycle or a clique, then  $\chi(G) \leq \Delta(G)$ .

*Proof.* Let G be a k-chromatic graph that satisfies the hypothesis of the theorem. By the statements above, this implies that  $k \ge 4$ . We may assume wlog that G is k-critical.

Case 1 : G has a vertex cut of size 2, say  $\{u, v\}$ . Since G is k-critical, by Theorem 3, u and v are not adjacent. Moreover, if  $G_1, \ldots, G_\ell$  are defined as in the proof of Theorem 3, then there must be two of the  $G_i$ , say  $G_1$  and  $G_2$ , so that every k - 1-colouring of  $G_1$  assigns u and v different colours, while any k - 1-colouring of  $G_2$  assigns u and v the same colour. This mean that, in  $G_1$ , any colour on a neighbour of u is assumed by a neighbour of v. Therefore, u and v together have at least k - 1 neighbours in  $G_1$ . On the other hand, u and v each must see all colours except one in  $G_2$ , so u and v together have at least 2(k-2) neighbours in  $G_2$ . Therefore, u and v together must have at least  $3k - 5 \ge 2k - 1$  neighbours in G, which means that at least one of u, v must have degree k, so  $\Delta(G) \ge k$ , as required.

Case 2: G does not have a vertex cut of size 2 (G is 3-connected). Since G is not a clique, there must be three vertices u, v, w so that u, v and v, w are adjacent, but u, w are not. Set  $u = v_1$  and  $w = v_2$ . Order the vertices in  $G - \{u, w\}$  according to non-increasing graph distance from v, so if i < j then  $dist(v_i, v) \ge dist(v_j, v)$ . Note that this implies that  $v_n = v$  (n = n(G)), and for each  $i \ge 4$ ,  $v_i$  has at least one neighbour  $v_j$  with j > i. Now colour the vertices with the greedy colouring algorithm using this ordering. Then  $v_1$  and  $v_2$  will both receive colour 1. All vertices  $v_3, \ldots, v_{n-1}$  have at least one uncoloured neighbour, and thus at most  $\Delta - 1$  coloured neighbours, so these can be coloured with  $\Delta$  colours. Now  $v_n = v$  has two neighbours  $v_1$  and  $v_2$ , with the same colour, so  $v_n$  is adjacent to at most  $\Delta - 1$  colours, and the colouring can be completed with  $\Delta$  colours. Thus,  $k \le \Delta$