# Intercategories

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#### Introduction

- A study of the interchange law
- ► Intercategory (short for interchange category)
- Kind of triple category
  - Has three kinds of arrows
  - ▶ Three kinds of 2-dimensional cells
  - Triple cells (cubes)
- Not a generalization of tricategory
  - One composition is strictly associative and unitary
  - Other two up to isomorphism (with bicategorical type coherence)
  - ► Interchange is lax

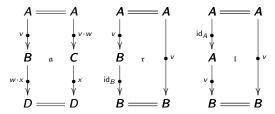
# **Double Categories**

Category object 
$$\mathbb{A}$$
 in **Cat**:  $\mathbf{A}_2 \longrightarrow \mathbf{A}_1 \longrightarrow \mathbf{A}_0$ 

- ▶ Objects of  $A_0$  are objects of A
- ▶ Morphisms of **A**<sub>0</sub> are horizontal arrows
- Objects of A<sub>1</sub> are vertical arrows
- ▶ Morphisms of **A**<sub>1</sub> are double cells
- $\qquad \qquad \mathbf{Interchange} \,\, \frac{\alpha |\beta}{\gamma |\delta} = \, \frac{\alpha}{\gamma} \bigg| \, \frac{\beta}{\delta}$

## Weak Double Categories

In a weak double category, we allow vertical composition to be associative and unitary up to special isomorphism



satisfying the usual coherence conditions (pentagon, etc.)

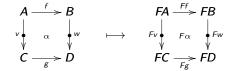
 $\operatorname{Example:}\ \mathbb{S}\mathsf{pan}\boldsymbol{\mathsf{A}}\ \mathsf{for}\ \boldsymbol{\mathsf{A}}\ \mathsf{a}\ \mathsf{category}\ \mathsf{with}\ \mathsf{pullbacks}$ 

A double cell is

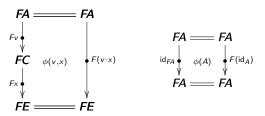
$$\begin{array}{ccc}
A & \xrightarrow{f} & B \\
\uparrow & & \uparrow \\
S & \longrightarrow & T \\
\downarrow & & \downarrow \\
C & \xrightarrow{g} & D
\end{array}$$

### Morphisms

A lax morphism  $F : \mathbb{A} \longrightarrow \mathbb{X}$ 



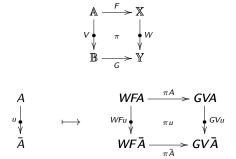
horizontally functorial, but vertically we are given special cells



horizontally natural and satisfying associativity and unitary laws A *colax morphism*,  $\phi$ 's go in opposite direction EXAMPLE:  $\operatorname{Span}(F)$ :  $\operatorname{Span}\mathbf{A} \longrightarrow \operatorname{Span}\mathbf{B}$ 

#### Theorem

There is a strict double category  $\mathbb{D}$ bl whose objects are (small) weak double categories, whose horizontal arrows are lax functors, whose vertical arrows are colax functors and whose double cells are horizontal transformations:



horizontally natural, vertically functorial

NOTE: For 2-categories considered as horizontal double categories  $\pi$  is a 2-natural transformation. For bicategories considered as vertical double categories  $\pi$  is a co-icon (Lack)

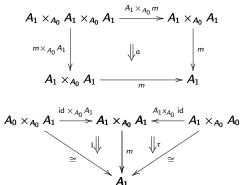
By taking horizontal (vertical) arrows and special cells we get 2-categories
 DblLax and DblColax

### **Pseudocategories**

In 2-categories with pullbacks we can weaken the associativity and unitary laws for category objects

$$A_1 \times_{A_0} A_1 \xrightarrow{p_1} A_1 \xrightarrow{\rho_0} A_0$$

to giving coherent isomorphisms



A weak double category is a pseudocategory in Cat

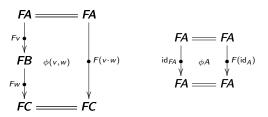
- We only assume that the iterated pullbacks  $A_1 \times_{A_0} A_1 \dots \times_{A_0} A_1$  exist
- We have lax and colax morphisms of pseudocategories and horizontal transformations as above

#### Theorem

For any 2-category  $\mathcal{A}$  we get a strict double category  $\mathbb{P}s\mathbb{C}at(\mathcal{A})$  whose objects are pseudocategories in  $\mathcal{A}$ , horizontal arrows are lax morphisms, vertical arrows are colax morphisms, and double cells horizontal transformations

#### Strict Double Functors

A lax functor  $F : \mathbb{A} \longrightarrow \mathbb{B}$  is *strict* if the laxity cells



#### are identities

This means, not only does F preserve vertical composition on the nose, but also the structural isomorphisms  $\mathfrak{a},\mathfrak{l},\mathfrak{r}$ 

## Proposition

The set theoretical pullback of strict double functors is a weak double category and the projections are strict. It is a 2-pullback in either of the 2-categories,  $\mathcal{D}bl\mathcal{L}ax$  or  $\mathcal{D}bl\mathcal{C}olax$ 

#### Intercategories

#### Definition

An intercategory is a pseudocategory

$$\mathbb{C} \xrightarrow{p_1 \atop m \to p_2} \mathbb{B} \xrightarrow{\frac{\partial_0}{\leftarrow \mathrm{id}}} \mathbb{A}$$

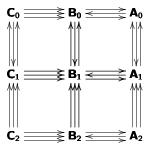
in  $\mathcal{D}bl\mathcal{L}ax$  with  $\partial_0$  and  $\partial_1$  strict morphisms

- ► The lax and colax morphisms of pseudocategories give two kinds of morphism of intercategory, lax-lax and colax-lax, which form part of a strict double category ICat
- Why lax?
   An intercategory can equally well be defined as a pseudocategory in *DblColax*

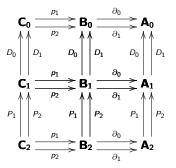
$$X_2 \longrightarrow X_1 \longrightarrow X_0$$

But the equivalence is not completely straightforward The morphisms are not the same: we still get colax-lax but a new one, colax-colax We get another strict double category  $\mathbb{IC}at^*$ 

# 3 × 3 Diagram of Categories



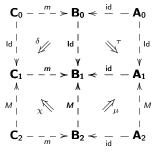
# $3 \times 3$ Diagram of Categories



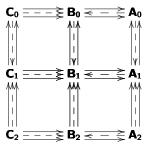
The squares "sequentially commute"

# $3 \times 3$ Diagram of Categories

We have cells  $\chi, \delta, \mu, \tau$ 

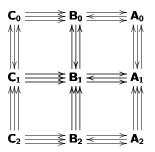


# $3 \times 3$ Diagram of Categories



The mixed (dashed and solid) squares sequentially commute

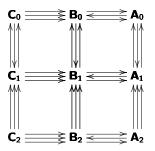
## Intercategory



- (1) Each column has the structure of a weak double category,  $\mathbb{A}, \mathbb{B}, \mathbb{C}$  (so  $\mathbf{A}_2 = \mathbf{A}_1 \times_{A_0} \mathbf{A}_1$ , etc.,  $\mathfrak{a}', \mathfrak{l}', \mathfrak{r}'$ )

  commutativities  $\Rightarrow \mathbb{C} \xrightarrow{p_1} \mathbb{B} \xrightarrow{\partial_0} \mathbb{A}$  strict functors
- (2)  $\tau$  and  $\mu$  make id :  $\mathbb{A} \longrightarrow \mathbb{B}$  a lax functor  $\delta$  and  $\chi$  make  $m : \mathbb{C} \longrightarrow \mathbb{D}$  a lax functor
- (3)  $\mathbb{C} \xrightarrow{\Longrightarrow} \mathbb{B} \xrightarrow{\Longrightarrow} \mathbb{A}$  is a pseudocategory in  $\mathcal{D}bl\mathcal{L}ax$  (so  $\mathbb{C} = \mathbb{B} \times_{\mathbb{A}} \mathbb{D}$ ,  $\mathfrak{a}, \mathfrak{l}, \mathfrak{r}$ )

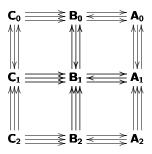
# Intercategory (equiv.)



- (1) Each row has the structure of a weak double category  $\mathbb{X}_0, \mathbb{X}_1, \mathbb{X}_2$  (so  $\mathbf{C}_i = \mathbf{B}_i \times_{\mathbf{A}_i} \mathbf{B}_i$ ,  $\mathfrak{a}, \mathfrak{l}, \mathfrak{r}$ )

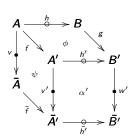
  commutativities  $\Rightarrow \mathbb{X}_2 \xrightarrow{P_1} \mathbb{X}_1 \xrightarrow{D_0} \mathbb{X}_0$  strict functors
- (2)  $\tau$  and  $\delta$  make Id :  $\mathbb{X}_0 \longrightarrow \mathbb{X}_1$  a colax functor  $\mu$  and  $\chi$  make  $M : \mathbb{X}_2 \longrightarrow \mathbb{X}_1$  a colax functor
- (3)  $\mathbb{X}_2 \longrightarrow \mathbb{X}_1 \longrightarrow \mathbb{X}_1$  is a pseudocategory in  $\mathcal{D}blColax$  (so  $\mathbb{X}_2 = \mathbb{X}_1 \times_{\mathbb{X}_0} \mathbb{X}_1$  and  $\mathfrak{a}', \mathfrak{l}', \mathfrak{r}'$ )

## Geometric Representation



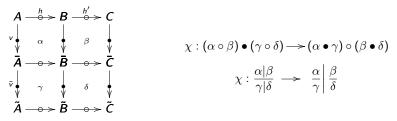
#### Intercategory A has

- ▶ Objects = objects of **A**0
- ightharpoonup Transversal arrows = morphisms of  $A_0$
- $\blacktriangleright \ \, \mathsf{Horizontal} \ \, \mathsf{arrows} = \mathsf{objects} \ \, \mathsf{of} \ \, \boldsymbol{B}_0$
- ▶ Vertical arrows = objects of **A**<sub>1</sub>
- ▶ Horizontal cells = morphisms of  $\mathbf{B}_0$
- ▶ Lateral cells = morphisms of A₁
- ▶ Basic cells = objects of **B**<sub>1</sub>
- ightharpoonup Cubes = morphisms of  $\mathbf{B}_1$



## Composition

- ► Can be composed in all three directions
- ▶ Transversal is strictly associative and unitary (·, 1)
- ► Horizontal (vertical) is associative and unitary up to coherent transversal isomorphism (o, id, resp. •, Id)
- Horizontal and lateral cells compose in two directions and satisfy interchange
- Basic cells compose horizontally and vertically and have lax interchange



Degenerate interchangers

$$\mu: \frac{\mathrm{id}_{v}}{\mathrm{id}_{\bar{v}}} \longrightarrow \mathrm{id}_{v \bullet \bar{v}} \qquad \delta: \mathrm{Id}_{h \circ h'} \longrightarrow \mathrm{Id}_{h} \, | \, \mathrm{Id}_{h'} \qquad \tau: \mathrm{Id}_{\mathrm{id}_{A}} \longrightarrow \mathrm{id}_{\mathrm{Id}_{A}}$$

## Morphisms

A morphism of intercategories  $F:\mathfrak{A}\longrightarrow\mathfrak{B}$  takes all the elements of  $\mathfrak{A}$  to similar ones of  $\mathfrak{B}$  and preserves domains and codomains

- Transversal composition is strictly preserved
- ▶ It can be
  - colax on the horizontal and lax on the vertical
  - colax on both horizontal and vertical
  - lax on both horizontal and vertical
- ▶ The lax-lax with colax-lax form a strict double category I Cat
- ▶ The colax-lax with colax-colax form a strict double category  $\mathbb{I}\mathbb{C}at^*$

#### **Theorem**

There is a strict triple category  $\mathfrak{ICat}$  whose objects are intercategories, transversal arrows are colax-lax functors, horizontal arrows lax-lax functors, vertical arrows colax-colax functors, two dimensional cells as for  $\mathbb{D}$ bl, and commutative cubes as 3-cells

## **Duoidal Categories**

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Aguiar & Mahajan \longrightarrow 2-monoidal categories (Book, Ch. 6)
Booker & Street \longrightarrow Duoidal (Tannaka Duality... TAC)
Böhm, Chen, Zhang \longrightarrow (Hopf Monoids in Duoidal Categories, arXiv 2012) (\mathbf{V}, \otimes, \boxtimes, I, J)
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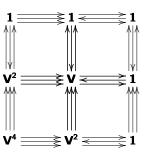
- ▶  $\otimes$  is lax for  $\boxtimes$  (also I)
- ▶  $\boxtimes$  is colax for  $\otimes$  (also J)
- ► Pseudomonoid in  $\mathcal{M}$ on<sub>lax</sub>
- ► Pseudomonoid in Moncolax

$$\qquad \qquad \chi: (A \otimes B) \boxtimes (C \otimes D) \longrightarrow (A \boxtimes C) \otimes (B \boxtimes D)$$

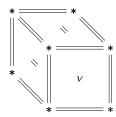
- $\mu: I \boxtimes I \longrightarrow I$
- $\tau: J \longrightarrow I$

## Proposition

A duoidal category is "the same as" an intercategory with only one object, only identity transversal, horizontal, vertical arrows, horizontal and lateral cells



- Lax-lax, colax-lax and colax-colax morphisms are called double lax, bilax and double colax by Aguiar & Mahajan
- ▶ A general cube looks like (with  $w \rightarrow v$  inside)



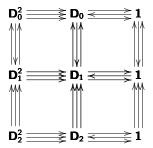
## Monoidal Double Categories

- Shulman in "Constructing Symmetric Monoidal Bicategories" arXiv (2010) introduces monoidal double categories
- They are pseudomonoids in the 2-category of weak double categories and strong morphisms
- ► Can be considered as pseudocategories

$$\mathbb{D}^2 \stackrel{}{\Longrightarrow} \mathbb{D} \stackrel{}{\Longleftrightarrow} 1$$

in either  $\mathcal{D}bl\mathcal{L}ax$  or  $\mathcal{D}bl\mathcal{C}olax$ 

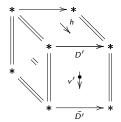
► (DblLax)



#### Proposition

A monoidal double category is "the same as" an intercategory with one object and only identity transversal and vertical (horizontal) arrows and lateral (resp. horizontal) cells. Furthermore the interchangers  $\chi, \delta, \mu, \tau$  are isomorphisms

▶ A general cube looks like (with double cell inside)



ightharpoonup There are good examples when the  $\chi$  is not an isomorphism, e.g. a double category with a lax choice of products

## Locally Cubical Bicategories

Garner & Gurski "The low-dimensional structures formed by tricategories" Math. Proc. Camb. Phil. Soc. (2009)

Like a tricategory, it has 0-, 1-, 2- and 3-cells; but the 2-cells come in two different kinds, vertical and horizontal, whilst the 3-cells are cubical in nature. Moreover, the coherence axioms that are to be satisfied are of a bicategorical, rather than a tricategorical kind, and so the resultant structure is computationally more tractable than a tricategory.

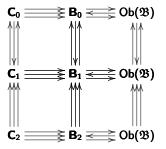
A locally cubical bicategory  $\mathfrak B$  is a category weakly enriched in the monoidal 2-category of weak double categories with strong morphisms and horizontal transformations

- ▶ For each pair of objects we have a weak double category  $\mathfrak{B}(A, B)$
- $\triangleright \otimes : \mathfrak{B}(A,B) \times \mathfrak{B}(B,C) \longrightarrow \mathfrak{B}(A,C)$
- $I_A: \mathbf{1} \longrightarrow \mathfrak{B}(A,A)$
- Associative and unitary up to coherent isomorphism

We get a pseudocategory object in  $\mathcal{D}bl\mathcal{S}t$ 

$$\sum_{A,B,C} \mathfrak{B}(A,B) \times \mathfrak{B}(B,C) \Longrightarrow \sum_{A,B} \mathfrak{B}(A,B) \Longrightarrow \mathsf{Ob}(\mathfrak{B})$$

So it is an intercategory that looks like



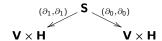
i.e. one in which the horizontal and vertical arrows as well as the lateral cells are identities. Furthermore the interchangers  $\chi, \delta, \mu, \tau$  are isomorphisms

## Verity Double Bicategories

- Solution to the problem of defining double categories that are weak in both horizontal and vertical directions
- ▶ Formalize special cells in horizontal and vertical bicategories,  $\mathcal{H}$  and  $\mathcal{V}$ , with the same objects
- For every



give a set of "squares", taken together give a discrete bifibration



- ▶ Double bicategories can be identified with intercategories with identity transversal arrows and satisfying the discrete bifibration property
- Verity Thesis TAC reprints
- ▶ Morton Extended TQFT's and Quantum Gravity

# True Gray Categories

- ► Gordon, Power, Street, *Coherence for Tricategories*, Memoirs AMS
- A Gray category is a tricategory in which everything is strict except interchange, which is up to coherent isomorphism
- ▶ It is a category enriched in **Gray** the category of 2-categories and 2-functors with the *Gray* tensor product  $\mathcal{A} \otimes \mathcal{B}$  which classifies

$$F: \mathcal{A} \times \mathcal{B} \longrightarrow \mathcal{C}$$

- 2-functors in each variable separately
- coherent isomorphisms

$$F(A,B) \xrightarrow{F(f,B)} F(A',B)$$

$$F(A,g) \downarrow \qquad \qquad \downarrow^{h(f,g)} \qquad \downarrow^{F(A',g)}$$

$$F(A,B') \xrightarrow{F(f,B')} F(A',B')$$

► Gray's original definition didn't have isomorphisms [Gray, Formal Category Theory, SLN 391]

Call enriched categories relative to this  $\otimes$ , true Gray categories Thus a true Gray category has homs which are 2-categories  $\mathcal{A}(A,B)$  and a 2-functor

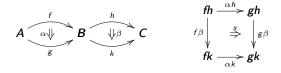
$$A(A, B) \otimes A(B, C) \longrightarrow A(A, C)$$

giving composition, i.e. a "Gray 2-functor of two variables"

This gives another (non symmetric)  $\otimes$  on 2-categories

$$A(A, B) \times A(B, C) \longrightarrow A(A, C)$$

- ► This means that a true Gray category has objects, arrows, 2-cells and 3-cells with domains and codomains like for 3-categories
- ▶ The 2-cells and 3-cells compose strictly within their hom 2-categories
- ► The arrows also compose strictly, but there is no "horizontal" composition of 2-cells across the homs, just whiskering
- ▶ Interchange doesn't hold there is only a comparison



Either choice gives a strictly associative and unitary composition of 2- and 3-cells. The top choice is lax and the bottom is colax In fact we get three different ways of making a true Gray category into an intercategory

