

Hypercategories

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- Preliminary report on **Cat**-indexed categories, a.k.a. hypercategories
- More questions than answers
- Four parts
 1. Indexed categories
 2. Double categories
 3. Families of categories
 4. Derivators

I. Indexed Categories

Genesis

- Grew out of the master plan of developing mathematics based on an arbitrary elementary topos \mathbf{S} (rather than a fixed set theory, *ZFC* e.g.)
- Mathematics is best done using category theory
 - Small category is a category object in \mathbf{S}
 - Large category? E.g. $Gr(\mathbf{S})$
- Idea is that a large category should come equipped with a notion of family of objects parametrized by an object of \mathbf{S}

History

- 1973 Lawvere - Perugia notes - “Theory of categories over a base topos”
- 1974 Bénabou - Lectures University of Montreal - “Fibrations”
- 1974 Penon - Comptes Rendus - “Catégories localement internes”
- 1978 Paré - Schumacher - SLN - “Indexed categories”

Idea of families indexed by some structured object

- \approx 1850 - Riemann - Moduli spaces
- \approx 1960 - Mumford et al

Definition

- \mathbf{S} a category of parameters - has finite limits
- \mathbf{S} -indexed category \mathcal{A}
 - For every I in \mathbf{S} a category $\mathcal{A}(I)$ of I -indexed families: $\langle A_i \rangle_{i \in I}$
 - For every $\alpha : J \rightarrow I$ a functor $\alpha^* : \mathcal{A}(I) \rightarrow \mathcal{A}(J)$, the *reindexing*:
 $\alpha^* \langle A_i \rangle_{i \in I} = \langle A_{\alpha j} \rangle_{j \in J}$
 - Natural isomorphisms

$$\phi_I : \mathbf{1}_{\mathcal{A}(I)} \xrightarrow{\cong} \mathbf{1}_I^*$$

$$\phi_{\alpha, \beta} : \beta^* \alpha^* \xrightarrow{\cong} (\alpha\beta)^*$$

- Satisfying coherence conditions: two unit triangles and one associativity pentagon
- $\mathcal{A}(_) : \mathbf{I}^{op} \rightarrow \underline{\underline{CAT}}$ is a pseudo-functor
- If $\phi_I, \phi_{\alpha, \beta}$ are identities, we say \mathcal{A} is *rigid*

Examples

- $\mathbf{S} = \mathbf{Set}$, \mathbf{A} arbitrary category

$$\mathcal{A}(I) = \mathbf{A}^I = \prod_I \mathbf{A}$$

- Object is an actual I -family $\langle A_i \rangle_{i \in I}$ of \mathbf{A} objects
 - Morphism is $\langle f_i \rangle : \langle A_i \rangle \longrightarrow \langle B_i \rangle$ an I -family of morphisms $f_i : A_i \longrightarrow B_i$
 - $\alpha^* \langle A_i \rangle_{i \in I} = \langle A_{\alpha j} \rangle_{j \in J}$ reindexing
- \mathbf{S} an elementary topos

- $\mathcal{S}(I) = \mathbf{S}/I$, $\alpha^* : \mathbf{S}/I \longrightarrow \mathbf{S}/J$ is pullback

\mathcal{S} is \mathbf{S} indexed by itself

- Groups in \mathbf{S} are indexed by

$$Gr(\mathcal{S})(I) = Gr(\mathbf{S}/I)$$

α^* preserves groups

Morphisms

- An *indexed functor* $F : \mathcal{A} \rightarrow \mathcal{B}$ is a pseudo-natural transformation
 - For every I , $F(I) : \mathcal{A}(I) \rightarrow \mathcal{B}(I)$ a functor
 - For every α , a natural isomorphism

$$\begin{array}{ccc}
 \mathcal{A}(I) & \xrightarrow{F(I)} & \mathcal{B}(I) \\
 \alpha^* \downarrow & \psi_\alpha \swarrow & \downarrow \alpha^* \\
 \mathcal{A}(J) & \xrightarrow{F(J)} & \mathcal{B}(J)
 \end{array}$$

- Satisfying “obvious” coherence conditions
- It is *rigid* if all ψ_α are identities
- An *indexed natural transformation* $t : F \rightarrow G$ is a modification
 - For every I , a natural transformation $t(I) : F(I) \rightarrow G(I)$
 - Compatible with the ψ_α
- Get a 2-category **S-IndCat**

Externalization

- Let $\mathbb{C} = (C_2 \begin{array}{c} \xrightarrow{p_1} \\ \xrightarrow{m} \\ \xrightarrow{p_2} \end{array} C_1 \begin{array}{c} \xrightarrow{d_0} \\ \xleftarrow{\text{id}} \\ \xrightarrow{d_1} \end{array} C_0)$ be a category object in \mathbf{S}

The *externalization* of \mathbb{C} is the indexed category $\mathcal{E}x(\mathbb{C})$

- $\mathcal{E}x(\mathbb{C})(I)$ - Objects $I \rightarrow C_0$
- Morphisms $I \rightarrow C_1$
- $\alpha : J \rightarrow I, \alpha^* : \mathcal{E}x(\mathbb{C})(I) \rightarrow \mathcal{E}x(\mathbb{C})(J)$

$$(I \rightarrow C_0) \mapsto (J \xrightarrow{\alpha} I \rightarrow C_0)$$

- $\mathcal{E}x(\mathbb{C})$ is a rigid \mathbf{S} -indexed category
- Also have $\mathcal{E}x(F), \mathcal{E}x(t)$ for internal functors and natural transformations
- $\underline{\underline{Cat}}(\mathbf{S}) \xrightarrow{\mathcal{E}x} \underline{\underline{Rigid-S-IndCat}}$ is 2-full and faithful

Smallness

- \mathcal{A} is *small* if it is isomorphic to $\mathcal{E}x(\mathbb{C})$ for some category object \mathbb{C} in \mathbf{S}
- \mathcal{A} has *small homs* if for every I and $A, B \in \mathcal{A}(I)$ there exist $\text{hom}(A, B) : H(A, B) \rightarrow I$ and a natural bijection

$$\begin{array}{ccc}
 J & \longrightarrow & H(A, B) \\
 \searrow & & \swarrow \\
 & I & \\
 \alpha & & \text{hom}(A, B)
 \end{array}
 \quad \text{in } \mathbf{S}/I$$

$$\alpha^* A \rightarrow \alpha^* B \quad \text{in } \mathcal{A}(J)$$

- When $\mathbf{S} = \mathbf{Set}$, $\mathbf{S}/I \simeq \mathbf{Set}^I$, $\mathcal{A}(I) = \mathbf{A}^I$

$$\text{hom}(A, B) = \langle \mathbf{A}(A_i, B_i) \rangle_{i \in I}$$

- If \mathcal{A} has small homs, $\mathcal{A}(I)$ enriched in \mathbf{S}/I (with cartesian product)

$\Sigma \Pi$

- \mathcal{A} has *indexed sums* if
 - for every $\alpha : J \rightarrow I$, $\alpha^* : \mathcal{A}(I) \rightarrow \mathcal{A}(J)$ has a left adjoint

$$\sum_{\alpha} : \mathcal{A}(J) \rightarrow \mathcal{A}(I)$$

- (*Beck condition*) for every pullback

$$\begin{array}{ccc} L & \xrightarrow{\beta} & K \\ \delta \downarrow & \lrcorner & \downarrow \gamma \\ J & \xrightarrow{\alpha} & I \end{array}$$

the canonical morphism

$$\begin{array}{ccc} \mathcal{A}(L) & \xrightarrow{\sum_{\beta}} & \mathcal{A}(K) \\ \delta^* \uparrow & \Rightarrow & \uparrow \gamma^* \\ \mathcal{A}(J) & \xrightarrow{\sum_{\alpha}} & \mathcal{A}(I) \end{array}$$

is invertible

- Beck condition says that \sum_{α} is “pointwise”

- For $\mathbf{S} = \mathbf{Set}$

$$\sum_{\alpha} (\langle A_j \rangle_{j \in J}) = \langle \sum_{\alpha(j)=i} A_j \rangle_{i \in I}$$

- \prod_{α} is dual: right adjoint to α^* satisfying a similar Beck condition
- For $\mathcal{A} = \mathcal{S}$, \sum_{α} , \prod_{α} are well-known from topos theory

\sum exists for any \mathbf{S} and \prod exists if and only if \mathbf{S} is locally cartesian-closed

Remarks

- Once the basic position of equipping a category with a notion of abstract families is taken, the general theory is easy, especially for one with a basic acquaintance with topos theory
- The more like sets \mathbf{S} is, the more like ordinary category theory \mathbf{S} -indexed categories will be. E.g. \mathbf{S} a topos or spaces of some sort
- The basic ideas are
 - natural notion of family parametrized by objects of \mathbf{S}
 - a notion of sums and products of these families
 - naturally occurring category objects in \mathbf{S}
- The families intuition can be very useful

Categories as Parametrizers

- **Cat** is a good candidate for parameters
 - Almost a topos: cartesian-closed, sums are disjoint and universal
 - Well, certainly very “space like”
 - Families indexed by categories - fibrations, diagrams, . . .
 - Kan extensions are sums or products of such families
 - Category objects in **Cat** are double categories
- Basic theory is straightforward - concentrate on the differences specific to our choice of **Cat** as category of parameters
 - Not locally cartesian-closed but we have a characterization of those functors for which \coprod exists, the Conduché fibrations
 - Quotients are not good - **Cat** is not a regular category

Hypercategories

Definition

A *hypercategory* is a **Cat**-indexed category

A *hyperfunctor* is a **Cat**-indexed functor

A *hypernatural transformation* is a **Cat**-indexed natural transformation

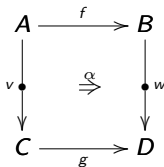
"Hypercategory" is the old Eilenberg-Kelly name for 2-category

II. Double Categories

Double Categories

- Ehresmann \approx 1960

Double category has objects, two kinds of arrows, horizontal and vertical, and cells



- Horizontal and vertical compositions, giving two category structures, related by interchange
- Category object in **Cat**

$$\mathbb{A} = \mathbf{A}_2 \begin{array}{c} \xrightarrow{p_1} \\ \xrightarrow{m} \\ \xrightarrow{p_2} \end{array} \mathbf{A}_1 \begin{array}{c} \xrightarrow{d_0} \\ \xleftarrow{id} \\ \xrightarrow{d_1} \end{array} \mathbf{A}_0$$

\mathbf{A}_0 : objects and vertical arrows

\mathbf{A}_1 : horizontal arrows and cells

Double Functors, Horizontal Transformations

- A double functor $F : \mathbb{A} \longrightarrow \mathbb{B}$ is a function taking objects, horizontal (resp. vertical) arrows, and cells of \mathbb{A} to similar elements of \mathbb{B}

$$\begin{array}{ccc}
 A_1 & \xrightarrow{f_1} & A_2 \\
 \downarrow v_1 & \Downarrow \alpha & \downarrow v_2 \\
 A_3 & \xrightarrow{f_2} & A_4
 \end{array}
 \quad \xrightarrow{F} \quad
 \begin{array}{ccc}
 FA_1 & \xrightarrow{Ff_1} & FA_2 \\
 \downarrow Fv_1 & \Downarrow F\alpha & \downarrow Fv_2 \\
 FA_3 & \xrightarrow{Ff_2} & FA_4
 \end{array}$$

preserving everything

- A horizontal transformation $t : F \longrightarrow G$ is
 - for every A in \mathbb{A} , a horizontal arrow $tA : FA \longrightarrow GA$ in \mathbb{B}
 - for every vertical arrow v in \mathbb{A} , a cell

$$\begin{array}{ccc}
 FA & \xrightarrow{tA} & GA \\
 \downarrow Fv & \Downarrow tv & \downarrow Gv \\
 FA' & \xrightarrow{tA'} & GA'
 \end{array}$$

- horizontally natural
- vertically functorial

Examples

- Sets, functions, relations, inclusion

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 R \downarrow & \subseteq & \downarrow S \\
 C & \xrightarrow{g} & D
 \end{array}$$

- For any category \mathbf{A} , $\square \mathbf{A}$ squares in \mathbf{A}
- For any 2-category $\underline{\mathbf{A}}$, $\mathbb{Q}\underline{\mathbf{A}}$ quintets in $\underline{\mathbf{A}}$

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 h \downarrow & \alpha \searrow & \downarrow k \\
 C & \xrightarrow{g} & D
 \end{array}$$

- For any 2-category $\underline{\mathbf{A}}$, $\mathbb{H}\text{or}\underline{\mathbf{A}}$

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \parallel & \Downarrow \alpha & \parallel \\
 A & \xrightarrow{g} & B
 \end{array}$$

Externalization

- Every double category \mathbb{A} gives a hypercategory $\mathcal{E}x(\mathbb{A})$
 - Objects of $\mathcal{E}x(\mathbb{A})(\mathbf{I})$ are vertical diagrams of shape \mathbf{I} in \mathbb{A}

$$\mathbf{I} \longrightarrow \mathbf{A}_0 \quad \text{i.e.} \quad \text{Vert } \mathbf{I} \longrightarrow \mathbb{A}$$

- Morphisms of $\mathcal{E}x(\mathbb{A})(\mathbf{I})$ are

$$\mathbf{I} \longrightarrow \mathbf{A}_1$$

i.e., horizontal transformations of vertical diagrams

- For $F : \mathbf{J} \longrightarrow \mathbf{I}$, $F^* : \mathcal{E}x(\mathbb{A})(\mathbf{I}) \longrightarrow \mathcal{E}x(\mathbb{A})(\mathbf{J})$ is given by composition

$$(\text{Vert } \mathbf{I} \xrightarrow{\Phi} \mathbb{A}) \xrightarrow{F^*} (\text{Vert } \mathbf{J} \xrightarrow{\text{Vert } F} \text{Vert } \mathbf{I} \xrightarrow{\Phi} \mathbb{A})$$

- $\mathcal{E}x(\mathbb{A})(\mathbf{1})$ has
 - objects: the objects of \mathbb{A}
 - arrows: the horizontal arrows of \mathbb{A}
- $\mathcal{E}x(\mathbb{A})$ is a rigid hypercategory

Examples

- $\mathcal{E}x(\square \mathbf{A})(\mathbf{I}) = \mathbf{A}^{\mathbf{I}}, \quad F^* = \mathbf{A}^F : \mathbf{A}^{\mathbf{I}} \longrightarrow \mathbf{A}^{\mathbf{J}}$

\prod_F, \sum_F are Kan extensions *but* Beck condition doesn't hold!

- $\mathcal{E}x(\mathbb{Q}\underline{\underline{A}})(\mathbf{I})$

- objects are 2-functors $\mathbf{I} \longrightarrow \underline{\underline{A}}$
- morphisms are lax transformations

- $\mathcal{E}x(\mathbb{H}\text{or}\underline{\underline{A}})(\mathbf{I})$

- objects are constant on components of \mathbf{I} , i.e. a function $\pi_0 \mathbf{I} \longrightarrow \text{Ob } \underline{\underline{A}}$
- morphisms are not constant
- e.g. if \mathbf{I} is connected, an object of $\mathcal{E}x(\mathbb{H}\text{or}\underline{\underline{A}})(\mathbf{I})$ is an object of $\underline{\underline{A}}$, and a morphism $A \longrightarrow B$ is a functor $\mathbf{I} \longrightarrow \underline{\underline{A}}(A, B)$

Small homs

- Every small category, i.e. $\mathcal{E}x(\mathbb{A})$, has small homs
- Let $\Phi, \Psi : \text{Vert } \mathbf{I} \rightarrow \mathbb{A}$ be two \mathbf{I} -families
 $\text{hom}(\Phi, \Psi)$ is given by the pullback

$$\begin{array}{ccc} H(\Phi, \Psi) & \longrightarrow & \mathbf{A}_1 \\ \text{hom}(\Phi, \Psi) \downarrow & & \downarrow \langle d_0, d_1 \rangle \\ \mathbf{I} & \xrightarrow{\langle \Phi, \Psi \rangle} & \mathbf{A}_0 \times \mathbf{A}_0 \end{array}$$

- an object of $H(\Phi, \Psi)$ is a pair $(I, \Phi I \xrightarrow{f} \Psi I)$
- a morphism is a pair (i, ϕ)

$$\begin{array}{ccccc} I & \Phi I & \xrightarrow{f} & \Psi I & \\ i \downarrow & \downarrow \phi_i & \Downarrow \phi & \downarrow \psi_i & \\ J & \Phi J & \xrightarrow{g} & \Psi J & \end{array}$$

Remark

$\text{hom}(\Phi, \Psi)$ is a family of categories, an object of \mathbf{Cat}/\mathbf{I} (general theory). It can be an arbitrary category over \mathbf{I} , $\mathbf{X} \rightarrow \mathbf{I}$ (take $\mathbf{I} + \mathbf{X} + \mathbf{X} + \mathbf{I} \rightrightarrows \mathbf{I} + \mathbf{X} + \mathbf{I} \rightrightarrows \mathbf{I} + \mathbf{I}$)

Tabulators

- For $\mathcal{A} = \mathcal{E}x\mathbb{A}$

$$\prod_2 : \mathcal{A}(2) \longrightarrow \mathcal{A}(1)$$

gives tabulators for \mathbb{A}

- The adjointness $2^* \dashv \prod_2$ gives the 1-dimensional universal property

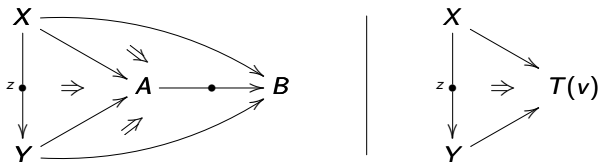
$$\begin{array}{ccc}
 & & \mathbf{A} \\
 & \nearrow & \downarrow \\
 \mathbf{X} & \Rightarrow & \bullet \quad \nu \\
 & \searrow & \downarrow \\
 & & \mathbf{B}
 \end{array}
 \quad \Bigg| \quad
 \mathbf{X} \Rightarrow T(\nu)$$

Tetrahedron

- Beck for

$$\begin{array}{ccc}
 \mathbb{2} \times \mathbb{2} & \xrightarrow{P_2} & \mathbb{2} \\
 P_1 \downarrow & & \downarrow \mathbb{2} \\
 \mathbb{2} & \xrightarrow{\mathbb{2}} & \mathbb{1}
 \end{array}$$

gives the tetrahedron condition



2-Functoriality

- $\mathcal{E}x(\mathbb{A})(-) : \mathbf{Cat}^{op} \rightarrow \mathbf{CAT}$ is a functor but not usually a 2-functor!

-

$$\begin{array}{ccc}
 \mathbf{J} & \begin{array}{c} \xrightarrow{F} \\ \Downarrow t \\ \xrightarrow{G} \end{array} & \mathbf{I} \\
 & \xrightarrow{\quad} & \\
 & \mathcal{E}x(\mathbb{A})(\mathbf{I}) & \begin{array}{c} \xrightarrow{F^*} \\ ? \\ \xrightarrow{G^*} \end{array} & \mathcal{E}x(\mathbb{A})(\mathbf{J})
 \end{array}$$

Given an \mathbf{I} -family $\Phi : \mathbf{I} \rightarrow \mathbf{A}_0$ we get

$$\begin{array}{ccc}
 \Phi F & \xrightarrow{\Phi t} & \Phi G \\
 \parallel & & \parallel \\
 F^* \Phi & \longrightarrow & G^* \Psi
 \end{array}$$

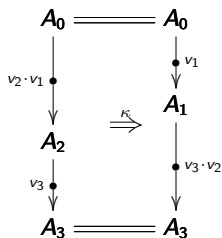
But this is a vertical transformation ($\Phi t(J)$ is a vertical arrow)

A natural transformation $F^* \rightarrow G^*$ is a horizontal transformation

- $\mathcal{E}x(\square \mathbf{A})$ is 2-functorial
- $\mathcal{E}x(\underline{\mathbb{Q}} \mathbf{A})$ is not in general

Weak Double Categories

- Most double categories of structures are weak
- A *weak* double category has the same data as a double category except that vertical composition is only unitary and associative up to coherent special isomorphism, e.g.



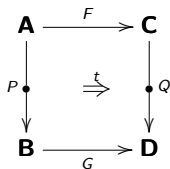
- It can be viewed as a weak category object

$$\mathbf{A}_3 \begin{array}{l} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{A}_2 \begin{array}{l} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{A}_1 \begin{array}{l} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{A}_0 \begin{array}{l} \xleftarrow{\quad} \\ \xleftarrow{\quad} \\ \xleftarrow{\quad} \\ \xleftarrow{\quad} \end{array}$$

in CAT

Cat

- There is a weak double category $\mathbb{C}at$ that plays the role of \mathbf{Cat} in the double category universe
- It consists of small categories, functors, profunctors, natural transformations



$$t : P(-, -) \longrightarrow Q(F-, G-)$$

- Profunctors are “relations between categories”
- $P : \mathbf{A} \longrightarrow \mathbf{B}$ is $P : \mathbf{A}^{op} \times \mathbf{B} \longrightarrow \mathbf{Set}$

Other Examples

- \mathbf{Set} : sets, functions, spans
- \mathbf{Ring} : rings, homomorphisms, bimodules
- $\mathbf{Vert}\underline{\underline{B}}$: $\underline{\underline{B}}$ a bicategory
- $\mathbf{V-Cat}$: suitable \mathbf{V}
- $\mathbf{V-Set}$: sets, functions, \mathbf{V} -matrices

The Hypercategory of a Weak Double Category

- $\mathcal{H}yp(\mathbb{A})(\mathbf{I})$
 - objects pseudo-functors $\text{Vert } \mathbf{I} \longrightarrow \mathbb{A}$
 - morphisms are pseudo-natural transformations
 - F^* is precomposition with F
- $\mathcal{H}yp(\mathbb{A})$ is a rigid hypercategory
- $\mathcal{H}yp(\mathbb{A})$ has small homs
- The natural notion of morphism is pseudo-functor (or weak functor) $\Phi : \mathbb{A} \longrightarrow \mathbb{B}$
- This gives $\mathcal{H}yp(\mathbb{A}) \xrightarrow{\mathcal{H}yp(\Phi)} \mathcal{H}yp(\mathbb{B})$ a rigid hyperfunctor

Proposition

There is an equivalence of categories

$$\frac{\mathbb{A} \xrightarrow{\text{weak}} \mathbb{B}}{\mathcal{H}yp(\mathbb{A}) \xrightarrow{\text{rigid}} \mathcal{H}yp(\mathbb{B})}$$

Essential Smallness

- A category is essentially small if it is equivalent to a small one
- Equivalence for indexed categories (Bunge-Paré, Cahiers 1980)

- Strong equivalence $\mathcal{A} \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} \mathcal{B}$ such that $FG \cong 1$, $GF \cong 1$

- Weak equivalence

- F full and faithful ($F(I)$ is full and faithful for every I)

- F essentially surjective on objects: for every $I, B \in \mathcal{B}(I)$ there is a cover $e : J \twoheadrightarrow I$, $A \in \mathcal{A}(J)$, and an isomorphism $e^* B \cong F(J)(A)$

- There *cover* meant regular epimorphism, and \mathbf{S} was assumed to be regular

Effective Descent Morphisms

- **Cat** is not regular
- A good notion of cover is an effective descent morphism

Theorem

(Janelidze, Sobral, Tholen) A functor $F : \mathbf{J} \longrightarrow \mathbf{I}$ is of effective descent type in **Cat** if and only if it is onto on objects, arrows, and composable pairs of arrows

Theorem

If \mathbb{A} is a weak double category, then there exist a strict double category \mathbb{B} and a weak equivalence $\mathcal{E}x(\mathbb{B}) \longrightarrow \mathcal{H}yp(\mathbb{A})$

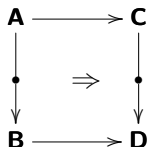
III. Families of Categories

The Indexing of *Cat*

- There are many possible notions of what a family of categories or functors might be
 - **Cat/I** categories over **I** with commutative triangles
 - **Cat//I** categories over **I** with lax triangles
 - **Fib/I** fibrations over **I** with cartesian functors
 - **Cond/I** Conduché fibrations with commutative triangles
 - Pseudo(I^{op} , **Cat**)
 - Etc.

The Standard Indexing

- $\mathcal{C}at(\mathbf{I}) = \mathbf{Cat}/\mathbf{I}$, F^* is pullback along F
- $\mathcal{C}at(\mathbf{1}) \cong \mathbf{Cat}$
- $\mathcal{C}at(\mathbf{2})$ has objects profunctors $\mathbf{A} \overset{\bullet}{\dashrightarrow} \mathbf{B}$
morphisms natural transformations



- $\mathcal{C}at$ is a flexible hypercategory

Lax Functors

Theorem

(Bénabou) A category over \mathbf{I} , $U : \mathbf{A} \rightarrow \mathbf{I}$ is equivalent to a normal lax functor $\mathbf{I} \rightarrow \mathbf{Prof}$

- In fact \mathbf{Cat}/\mathbf{I} is equivalent to the category of normal lax functors $\mathbf{Vert} \mathbf{I} \rightarrow \mathbf{Cat}$ with horizontal transformations
- The correspondence is given by

$$I \mapsto \mathbf{A}_I = U^{-1}(I)$$

$$i \mapsto P_i$$

$$P_i(A, A') = \{a : A \rightarrow A' \mid Ua = i\}$$

- This does not use choice
- If instead we take this as our definition of $\mathcal{C}at$, then we get an equivalent but rigid hypercategory

Some Properties of $\mathcal{C}at$

- $\mathcal{C}at$ has \sum_F satisfying the Beck condition (true in general for any \mathbf{S})
- $\mathcal{C}at() : \mathbf{Cat}^{op} \rightarrow \mathbf{CAT}$ is not a 2-functor
 - Let $I, I' : \mathbb{1} \rightarrow \mathbf{I}$ be two functors and $i : I \rightarrow I'$ a natural transformation. Then we have

$$\mathcal{C}at(\mathbf{I}) \begin{array}{c} \xrightarrow{I^*} \\ \xrightarrow{I'^*} \end{array} \mathcal{C}at(\mathbb{1})$$

$$\text{i.e. } \mathbf{Cat}/I \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{Cat}$$

$$\begin{array}{ccc} \mathbf{A} & \xrightarrow{I^*} & \mathbf{A}_I \\ \downarrow & & \\ \mathbf{I} & \xrightarrow{I'^*} & \mathbf{A}_{I'} \end{array}$$

but there may not be any functor $\mathbf{A}_I \rightarrow \mathbf{A}_{I'}$ (if $\mathbf{A}_I \neq \emptyset = \mathbf{A}_{I'}$)

Powerful Families

- An object A in a cartesian category \mathbf{A} is *powerful* if $A \times () : \mathbf{A} \rightarrow \mathbf{A}$ has a right adjoint $()^A$

Theorem

(Giraud, Conduché) $U : \mathbf{A} \rightarrow \mathbf{I}$ is powerful in \mathbf{Cat}/\mathbf{I} if and only if it satisfies the following condition: for every $f : A \rightarrow A'$, every factorization of Uf , $UA \xrightarrow{x} I \xrightarrow{y} UA'$ lifts to a factorization of f , $A \xrightarrow{g} \bar{A} \xrightarrow{h} A'$, $Ug = x$, $Uh = y$, and any two liftings are connected by a zigzag path of diagrams

$$\begin{array}{ccccc}
 A & \xrightarrow{g} & \bar{A} & \xrightarrow{h} & A' \\
 \parallel & & \downarrow a & & \parallel \\
 A & \xrightarrow{g'} & \bar{A}' & \xrightarrow{h'} & A'
 \end{array}$$

with $Ua = 1_I$

Powerful Categories

Proposition

- (1) *Powerful morphisms are stable under pullback*
- (2) $F^* : \mathbf{Cat}/\mathbf{I} \rightarrow \mathbf{Cat}/\mathbf{J}$ *has a right adjoint \prod_F if and only if F is powerful*
- (3) \prod_F *satisfies the Beck condition*

- Get a subhypercategory $\mathcal{P}\mathbf{Cat}$ of \mathbf{Cat} with $\mathcal{P}\mathbf{Cat}(\mathbf{I})$ the full subcategory of \mathbf{Cat}/\mathbf{I} determined by the powerful families
- $\mathcal{P}\mathbf{Cat}$ has small homs
- $\mathbf{A} \rightarrow \mathbf{I}$ is powerful if and only if the corresponding lax functor $\mathbf{Vert} \mathbf{I} \rightarrow \mathbf{Cat}$ is in fact pseudo
- $\mathcal{P}\mathbf{Cat} \cong \mathcal{H}\mathit{yp}(\mathbf{Cat})$

Conduché at Work

- Useful to see in detail how the Conduché condition comes in
 - Let $U : \mathbf{A} \rightarrow \mathbf{I}$ and $V : \mathbf{B} \rightarrow \mathbf{I}$ be such that V^U exists in \mathbf{Cat}/\mathbf{I} . Say $V^U : \mathbf{C} \rightarrow \mathbf{I}$
 - An object of \mathbf{C} has to be $(I, \Phi : \mathbf{A}_I \rightarrow \mathbf{B}_I)$
 - A morphism of \mathbf{C} has to be

$$\begin{array}{ccccc}
 I & \mathbf{A}_I & \xrightarrow{\Phi} & \mathbf{B}_I & \\
 i \downarrow & P_i \downarrow & \Downarrow \phi & \downarrow Q_i & \\
 I' & \mathbf{A}_{I'} & \xrightarrow{\Phi'} & \mathbf{B}_{I'} &
 \end{array}$$

- Composition

$$\begin{array}{ccccccc}
 I & & \mathbf{A}_I & \xlongequal{\quad} & \mathbf{A}_I & \xrightarrow{\Phi} & \mathbf{B}_I & \xlongequal{\quad} & \mathbf{B}_I \\
 i \downarrow & & \downarrow & & \downarrow P_i & \Downarrow \phi & \downarrow Q_i & & \downarrow \\
 I' & & P_{i'I} \downarrow & & \mathbf{A}_{I'} & \xrightarrow{\Phi'} & \mathbf{B}_{I'} & & \downarrow Q_{i'I} \\
 i' \downarrow & & \Downarrow \mu_{i'I,i}^{-1} & & \downarrow P_{i'I} & \Downarrow \phi' & \downarrow Q_{i'I'} & & \downarrow \\
 I'' & & \mathbf{A}_{I''} & \xlongequal{\quad} & \mathbf{A}_{I''} & \xrightarrow{\Phi''} & \mathbf{B}_{I''} & \xlongequal{\quad} & \mathbf{B}_{I''}
 \end{array}$$

Contrafamilies

Definition

An \mathbf{I} -indexed *contrafamily* of categories is a normal oplax functor $\mathbb{V}er\mathbf{I} \rightarrow \mathbf{Cat}$
 A morphism of contrafamilies is a horizontal transformation

- If Φ is a contrafamily and Ψ a family, the pointwise exponential $\Psi^\Phi(I) = \Psi(I)^{\Phi(I)}$ is a family
- Precomposition gives reindexing functors for a hypercat $\mathcal{C}on\mathcal{C}at$
- Note that $\mathcal{C}on\mathcal{C}at(\mathbf{1}) \cong \mathbf{Cat}$

Theorem

$\mathcal{C}on\mathcal{C}at(\mathbf{I})$ is cartesian closed

Measuring

- Let Φ be a contrafamily and Ψ, Θ families. A Φ -measuring from Ψ to Θ is a morphism of families $\Psi \rightarrow \Theta^\Phi$

-
-

$$M(I) : \Phi I \times \Psi I \longrightarrow \Theta I$$

$$\begin{array}{ccc}
 \Phi I \times \Psi I & \xrightarrow{M I} & \Theta I \\
 \downarrow \Phi(i) \times \Psi(i) & \Downarrow M i & \downarrow \Theta i \\
 \Phi I' \times \Psi I' & \xrightarrow{M I'} & \Theta I'
 \end{array}$$

- Compatible with the laxity and colaxity cells

Theorem

Given two families Ψ, Θ , there is a universal measuring from Ψ to Θ

$$M(\Psi, \Theta) \times \Psi \longrightarrow \Theta$$

Corollary

$\mathcal{C}at(\mathbf{I})$ is enriched in $\mathcal{C}on\mathcal{C}at(\mathbf{I})$ and is cotensored

IV. Derivators

Derivators

- Heller - Homotopy theories (1988)
- Grothendieck - Les Dérivateurs (1990)
- Franke - System of triangulated diagram categories (1996?)

Definition

A *derivator* is

- (Der 0) A 2-functor (strict) $\mathcal{A} : \mathbf{Cat}^{op} \rightarrow \mathbf{CAT}$
- (Der 1) $\mathcal{A}(\sum \mathbf{I}_\alpha) \cong \prod \mathcal{A}(\mathbf{I}_\alpha)$
- (Der 2) $\mathcal{A}(\mathbf{1}) \rightarrow \prod_{\mathbf{1}} \mathcal{A}(\mathbf{1})$ conservative
- (Der 3) F^* has a left adjoint \sum_F and a right adjoint \prod_F
- (Der 4) Beck-Chevalley for comma categories

$$\begin{array}{ccc}
 \begin{array}{ccc}
 \mathbf{L} & \xrightarrow{G} & \mathbf{K} \\
 \psi \downarrow & \swarrow & \downarrow \phi \\
 \mathbf{J} & \xrightarrow{F} & \mathbf{I}
 \end{array} & \Rightarrow &
 \begin{array}{ccc}
 \mathcal{A}(\mathbf{L}) & \xrightarrow{\sum_G} & \mathcal{A}(\mathbf{K}) \\
 \psi^* \uparrow & \swarrow \cong & \uparrow \phi^* \\
 \mathcal{A}(\mathbf{J}) & \xrightarrow{\sum_F} & \mathcal{A}(\mathbf{I})
 \end{array}
 \end{array}
 \qquad
 \begin{array}{ccc}
 \mathcal{A}(\mathbf{L}) & \xleftarrow{G^*} & \mathcal{A}(\mathbf{K}) \\
 \Pi_\psi \downarrow & \swarrow \cong & \downarrow \Pi_\phi \\
 \mathcal{A}(\mathbf{J}) & \xleftarrow{F^*} & \mathcal{A}(\mathbf{I})
 \end{array}$$

- (Der 5) The canonical $\mathcal{A}(\mathbb{2} \times \mathbf{I}) \rightarrow \mathcal{A}(\mathbf{I})^2$ is essentially surjective on objects and full

Derivators as Hypercategories

- What do (Der 0)-(Der 5) mean in terms of hypercategories?
In particular for $\mathcal{E}x(\mathbb{A})$?

Der 0

- (Der 0): $\mathcal{A}(-) : \mathbf{Cat}^{op} \rightarrow \mathbf{CAT}$ is a 2-functor

- For $\mathbb{1} \begin{array}{c} \xrightarrow{0} \\ \xleftarrow{!} \\ \xrightarrow{1} \end{array} \mathbb{2}$ we have $0 \dashv ! \dashv 1$ so $\mathcal{A}(\mathbb{2}) \begin{array}{c} \xrightarrow{0^*} \\ \xleftarrow{!^*} \\ \xrightarrow{1^*} \end{array} \mathcal{A}(\mathbb{1})$, $1^* \dashv !^* \dashv 0^*$

For $\mathcal{A} = \mathcal{E}x(\mathbb{A})$

$\mathcal{E}x(\mathbb{A})(\mathbb{2})$ is the category whose objects are vertical arrows and whose morphisms are cells

$\mathcal{E}x(\mathbb{A})(\mathbb{1})$ is the category whose objects are those of \mathbb{A} and whose morphisms are horizontal arrows

0^* is the domain functor, 1^* is the codomain functor and $!^*$ is the functor “identity”

$$\begin{array}{ccc}
 A & \xrightarrow{\text{dom}} & A \\
 \downarrow v & & \\
 B & \xrightarrow{\text{cod}} & B
 \end{array}
 \qquad
 A \xrightarrow{\text{id}} \begin{array}{c} A \\ \downarrow \text{id} \\ A \end{array}$$

$$\text{cod} \dashv \text{id} \dashv \text{dom}$$

cod \dashv id \dashv dom

- $(\text{dom})(\text{id}) \cong 1$ so id is full and faithful

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \text{id}_A \downarrow & \xRightarrow{\alpha} & \downarrow \text{id}_B \\
 A & \xrightarrow{g} & B
 \end{array}
 \quad \Rightarrow \quad
 f = g \ \& \ \alpha = \text{id}_f$$

- cod \dashv id

$$\begin{array}{ccc}
 A & & \\
 \downarrow v & & \\
 B & \xrightarrow{g} & C
 \end{array}
 \quad \exists! \quad
 \begin{array}{ccc}
 A & \xrightarrow{f} & C \\
 \downarrow v & \xRightarrow{\alpha} & \downarrow \text{id}_C \\
 B & \xrightarrow{g} & C
 \end{array}$$

- id \dashv dom

$$\begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 & & \downarrow w \\
 & & C
 \end{array}
 \quad \exists! \quad
 \begin{array}{ccc}
 A & \xrightarrow{f} & B \\
 \text{id}_A \downarrow & \xRightarrow{\beta} & \downarrow w \\
 A & \xrightarrow{g} & C
 \end{array}$$

Companions

- This means that every vertical arrow v has a horizontal companion v_{\circ}
- Furthermore $(\)_{\circ}$ is functorial
- Finally

$$\begin{array}{ccc}
 A & \xrightarrow{f} & C \\
 \downarrow v & \Rightarrow \alpha & \downarrow w \\
 B & \xrightarrow{g} & D
 \end{array}
 \cong
 \begin{array}{ccccc}
 A & \xrightarrow{f} & C & \xrightarrow{w_{\circ}} & D \\
 \downarrow \text{id}_A & & & \Rightarrow \beta & \downarrow \text{id}_D \\
 A & \xrightarrow{v_{\circ}} & B & \xrightarrow{g} & D
 \end{array}$$

- I.e., for any boundary $(f, g; v, w)$ there is at most one cell and there is one if and only if $w_{\circ}f = sv_{\circ}$

Lax Kernel

- Let \mathbf{A} , \mathbf{B} be two categories with the same objects and $\Phi : \mathbf{A} \rightarrow \mathbf{B}$ a functor, identity on objects. We can construct a double category \mathbb{K}_Φ with objects those of \mathbf{A} (and/or \mathbf{B}), vertical arrows the morphisms of \mathbf{A} and horizontal arrows the morphisms of \mathbf{B} . There is a unique cell

$$\begin{array}{ccc}
 A & \xrightarrow{b} & C \\
 \downarrow a & \xRightarrow{\alpha} & \downarrow a' \\
 B & \xrightarrow{b'} & D
 \end{array}$$

$$\text{iff } (\Phi a')b = b'(\Phi a)$$

- \mathbb{K}_Φ is a kind of lax kernel of Φ

$$(\Phi, \Phi, \Phi) \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} (\Phi, \Phi) \begin{array}{c} \xrightarrow{\quad} \\ \xrightarrow{\quad} \\ \xrightarrow{\quad} \end{array} \mathbf{A} \xrightarrow{\Phi} \mathbf{B}$$

Proposition

$\mathcal{E}x(\mathbb{A})$ satisfies (Der 0) if and only if \mathbb{A} is of the form \mathbb{K}_Φ for some Φ

Der 1

- (Der 1) $\mathcal{A}(\sum \mathbf{I}_\alpha) \cong \prod \mathcal{A}(\mathbf{I}_\alpha)$
- This says that a family indexed by a sum of categories is an ordinary family of families indexed by each component
 - $\mathcal{E}x(\mathbb{A})$ always satisfies this
 - So does $\mathcal{H}yp(\mathbb{A})$
 - Also $\mathcal{C}at, \mathcal{P}\mathcal{C}at, \mathcal{C}on\mathcal{C}at$

Der 2

- (Der 2) $\mathcal{A}(\mathbf{1}) \longrightarrow \prod_{\mathbf{1}} \mathcal{A}(\mathbf{1})$ conservative
- When $\mathcal{A} = \mathcal{E}x(\mathbb{A})$, this says that if f and g in

$$\begin{array}{ccc}
 A & \xrightarrow{f} & C \\
 \downarrow v & \Rightarrow \alpha & \downarrow w \\
 B & \xrightarrow{g} & D
 \end{array}$$

are invertible, then so is α

- E.g.: For a bicategory \underline{B} , $\text{Vert} \underline{B}$ satisfies this if and only if \underline{B} is groupoid enriched
- For $\mathcal{E}x(\mathbb{A})$ this follows from (Der 0)
- For $\mathcal{E}x(\mathbb{A})$ and $\mathcal{H}yp(\mathbb{A})$ we do have

a weaker condition

$$\mathcal{A}(\mathbf{1}) \longrightarrow \prod_{\text{Arr} \mathbf{1}} \mathcal{A}(\mathbf{2})$$

is conservative

Der 3

- (Der 3) F^* has a left adjoint \sum_F and a right adjoint \prod_F

These are the usual adjoints from indexed category theory, though they are usually required to satisfy the Beck condition for pullbacks

- For $\mathcal{A} = \mathcal{E}x(\mathbb{A})$ or $\mathcal{H}yp(\mathbb{A})$ and $\mathbf{I} : \mathbf{I} \rightarrow \mathbb{1}$, $\prod_{\mathbf{I}} : \mathcal{A}(\mathbf{I}) \rightarrow \mathcal{A}(\mathbb{1})$ gives the horizontal limit of a vertical diagram, like tabulators e.g.
- For general $F : \mathbf{I} \rightarrow \mathbf{J}$, $\prod_F : \mathcal{A}(\mathbf{I}) \rightarrow \mathcal{A}(\mathbf{J})$ is a kind of horizontal Kan extension

Der 4

- (Der 4) The Beck condition for comma objects requires $\mathcal{A}(\)$ to be a 2-functor in order to get the comparisons

$$\begin{array}{ccc}
 \mathcal{A}(\mathbf{L}) & \xrightarrow{\Sigma_G} & \mathcal{A}(\mathbf{K}) \\
 \uparrow \psi^* & \searrow & \uparrow \phi^* \\
 \mathcal{A}(\mathbf{J}) & \xrightarrow{\Sigma_F} & \mathcal{A}(\mathbf{I})
 \end{array}$$

$$\begin{array}{ccc}
 \mathcal{A}(\mathbf{L}) & \xleftarrow{G^*} & \mathcal{A}(\mathbf{K}) \\
 \downarrow \Pi_\psi & \swarrow & \downarrow \Pi_\phi \\
 \mathcal{A}(\mathbf{J}) & \xleftarrow{F^*} & \mathcal{A}(\mathbf{I})
 \end{array}$$

Der 5

- (Der 5) $H_1 : \mathcal{A}(\mathcal{I} \times \mathbf{1}) \rightarrow \mathcal{A}(\mathbf{1})^2$ essentially surjective on objects and full
- Also depends on $\mathcal{A}(\)$ being a 2-functor
- In fact H_1 is the embodiment of 2-functoriality

• Given $\mathbf{I} \begin{array}{c} \xrightarrow{F} \\ \Downarrow t \\ \xrightarrow{G} \end{array} \mathbf{J}$ we get $\mathcal{I} \times \mathbf{1} \xrightarrow{T} \mathbf{J}$

so $\mathcal{A}(\mathbf{J}) \xrightarrow{T^*} \mathcal{A}(\mathcal{I} \times \mathbf{1})$

If we compose with H_1 we get $\mathcal{A}(\mathbf{J}) \xrightarrow{H_1 T^*} \mathcal{A}(\mathbf{1})^2$

Thus $t^* : F^* \rightarrow G^*$

H as a Hyperfunctor

- We can define new hypercategories from old as follows

- $\mathcal{A}[2]$ is given by $\mathcal{A}[2](\mathbf{I}) = \mathcal{A}(2 \times \mathbf{I})$

This is the hypercategory of internal (or vertical) arrows of \mathcal{A}

- \mathcal{A}^2 is given by $\mathcal{A}^2(\mathbf{I}) = \mathcal{A}(\mathbf{I})^2$

This is the hypercategory of external (or horizontal) arrows of \mathcal{A}

- $H : \mathcal{A}[2] \longrightarrow \mathcal{A}^2$ is a hyperfunctor

It is an assignment of horizontal arrows to vertical ones

- For a double category \mathbb{A}

$\mathcal{E}x(\mathbb{A})[2]$ corresponds to $\mathbb{A}^{\text{Vert}2}$

$\mathcal{E}x(\mathbb{A})^2$ corresponds to $\mathbb{A}^{\text{Hor}2}$

$H : \mathbb{A}^{\text{Vert}2} \longrightarrow \mathbb{A}^{\text{Hor}2}$

H for $\mathcal{E}x(\mathbb{K}_\Phi)$

- H for $\mathcal{E}x(\mathbb{K}_\Phi)$ is always full and faithful
- For it to be essentially surjective on objects as in (Der 5) means that there is a functor S and an isomorphism

$$\begin{array}{ccc}
 \mathbf{B}^2 & \xrightarrow{S} & \mathbf{A}^2 \\
 & \searrow & \downarrow \phi^2 \\
 & & \mathbf{B}^2 \\
 & \swarrow 1_{\mathbf{B}^2} & \\
 & &
 \end{array}
 \quad \cong$$

To be continued ...